

**NOT FOR PUBLICATION: Appendix B to this report contains information considered to be exempt under Paragraph 3 of Schedule 12A to the Local Government Act 1972 as amended**

Agenda Item No.

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**REPORT TO:** Management Board  
Audit and Governance Committee

**DATE:** 19 December 2022  
9 January 2023

**SERVICE AREA:** Legal and Governance

**REPORTING OFFICER:** Scrutiny, Governance and Risk Manager  
(*Mark Codman*)

**SUBJECT:** **STRATEGIC RISK REGISTER 2022/2023**

**WARDS AFFECTED:** All

**FORWARD PLAN REF:** N/A

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**1.0 PURPOSE OF REPORT**

1.1 The Strategic Risk Register reflects the most significant risks to the Council, its corporate objectives and services. The purpose of this report is to provide Management Board and the Audit and Governance Committee with the Strategic Risk Register (SRR) 2022/2023 for consideration.

**2.0 RECOMMENDATION/S**

2.1 It is recommended that the Audit and Governance Committee agrees that:

- (1) The register reflects the current strategic risks facing the Council
- (2) The risk assessments reflect the current level of risk
- (3) The internal controls in place are adequate to manage each risk

**3.0 RECOMMENDED REASON/S FOR DECISION/S**

3.1 The Council must maintain an up to date Strategic Risk Register that reflects the most significant risks to the Council, its corporate objectives and services

**4.0 ALTERNATIVE OPTION/S CONSIDERED AND RECOMMENDED FOR REJECTION** (*Must be used for reports to Cabinet & Cabinet Members*)

4.1 No alternative was considered the Council must maintain a Strategic Risk Register.

**5.0 DRAFT STRATEGIC RISK REGISTER 2022/23**

5.1 The Draft Strategic Risk Register (SRR) 2022/23 is attached (**APPENDIX A**). It should be noted that there is a separate confidential risk register for those risks that are considered commercially sensitive, there is currently one risk on this register (**APPENDIX B**). The SRR has been produced in consultation with Directors/Heads of Service since March 2022.

5.2 The following table summarises the current scores for the draft strategic risks.

**Summary of Current Strategic Risk Assessment Scores**

|                   |          |               |          |          |          |
|-------------------|----------|---------------|----------|----------|----------|
| <b>LIKELIHOOD</b> | <b>4</b> |               |          |          |          |
|                   | <b>3</b> |               |          | 1        |          |
|                   | <b>2</b> |               | 6        | 3        | 2        |
|                   | <b>1</b> |               | 1        |          |          |
|                   |          | <b>1</b>      | <b>2</b> | <b>3</b> | <b>4</b> |
|                   |          | <b>IMPACT</b> |          |          |          |

The numbers represent the number of risks with that combined Impact and Likelihood total score:

- Numbers in **green** are current scores equal to or less than appetite scores

**a) Risk Assessment Consideration**

- **Current Scores**

5.3 The current scores for all 13 Strategic risks are equal to their appetite scores and therefore within the Council’s agreed appetite for the individual risks. Management Board should consider the controls/actions identified for these risks and note that any increase to current scores will require further action.

**b) The Way Forward**

5.4 Management Board/The Audit and Governance Committee should review the Draft Strategic Risk Register 2022/2023 and agree the following:

- (1) The register reflects the current strategic risks facing the Council
- (2) The risk assessments reflect the current level of risk
- (3) The internal controls in place are adequate to manage each risk

### **c) Audit and Governance Committee**

5.5 Any amendments or comments from Management Board will be reported to the Audit and Governance Committee at its meeting on 9 January 2023.

#### **6.0 REQUIRED ASSESSMENTS AND IMPLICATIONS**

6.1 The following were considered: Financial Implications; Human Resources Implications; Legal Implications; ICT Implications; Strategic Property/Asset Management Considerations; Risk Assessment; Equality and Diversity (the Public Sector Equality Duty and impact upon people with protected characteristics). If applicable, the outcomes of any consultations, assessments, considerations and implications considered necessary during preparation of this report are detailed below.

#### **7.0 CONCLUSIONS**

7.1 The Council maintains a Strategic Risk Register in accordance with best practice. Risks are updated regularly by risk owners and Management Board manages the Strategic Risk Register and receives regular monitoring reports to help them do this.

#### **Background Papers –**

**OFFICER CONTACT:** Please contact Mark Codman Scrutiny, Governance and Risk Manager, if you require any further information on the contents of this report. The officer can be contacted at Democratic Services , *PO Bo 787, Harrogate, HG1 9RW* 01423 500600 Ext 58595 or by e-mail – [mark.codman@harrogate.gov.uk](mailto:mark.codman@harrogate.gov.uk)