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<b>REPORT TO:</b>	Audit & Governance Committee
<b>DATE:</b>	9 <sup>th</sup> January 2023
<b>SERVICE AREA:</b>	Finance (Audit Services)
<b>REPORTING OFFICER:</b>	Assistant Director - Audit Assurance (Veritau) <i>(Ian Morton)</i>
<b>SUBJECT:</b>	<b>Internal Audit Report on Training</b>
<b>WARD/S AFFECTED:</b>	ALL DISTRICT
<b>FORWARD PLAN REF:</b>	N/A

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**1.0 PURPOSE OF REPORT**

- 1.1 To inform Audit & Governance Committee of the findings relating to audit work undertaken on the controls in place within the Council that relate to training.

**2.0 RECOMMENDATION/S**

- 2.1 That the contents of this report be noted.

**3.0 RECOMMENDED REASON/S FOR DECISION/S**

- 3.1 The role of Management Board and the Audit & Governance Committee is to monitor and oversee the Council's governance arrangements, internal controls and risk management arrangements. Where significant weaknesses are identified via internal audit work, these should be reported to Management Board and the Audit & Governance Committee by the Assistant Director - Audit Assurance (Veritau).

**4.0 ALTERNATIVE OPTION/S CONSIDERED AND RECOMMENDED FOR REJECTION**

- 4.1 There is no alternative.

**5.0 THE REPORT**

- 5.1 The audit report is attached at Appendix A and describes the work undertaken by Audit Services with regards to our opinion on the controls in

place to mitigate the risks associated with training. At the time of the review, Audit Services could only provide partial assurance that adequate controls were in place with regard to the training of officers.

## **6.0 REQUIRED ASSESSMENTS AND IMPLICATIONS**

6.1 The following were considered: Financial Implications; Human Resources Implications; Legal Implications; ICT Implications; Strategic Property/Asset Management Considerations; Risk Assessment; Equality and Diversity (the Public Sector Equality Duty and impact upon people with protected characteristics). If applicable, the outcomes of any consultations, assessments, considerations and implications considered necessary during preparation of this report are detailed below.

### **Background Papers –**

Appendix A – the Audit Report

**OFFICER CONTACT:** Please contact Ian Morton, Assistant Director - Audit Assurance (Veritau), if you require any further information on the contents of this report. The officer can be contacted at Veritau Ltd, West Offices, Station Rise, York, YO1 6GA, by telephone on 01904 551044, or by e-mail – [ian.morton@veritau.co.uk](mailto:ian.morton@veritau.co.uk)