

## **AUDIT AND GOVERNANCE COMMITTEE**

**DATE:** Monday, 9 January 2023

**TIME:** 5.30 pm

**VENUE:** Council Chamber - Civic Centre, St Luke's Avenue, Harrogate  
HG1 2AE.

**This meeting will be livestreamed here: <https://bit.ly/HarrogateYouTube>  
(Copy and paste the link in your browser).**

**Notice is hereby given** that the above meeting will take place for the purpose following and, by virtue of Section 100B(4)(b) of the Local Government Act 1972, any other matter(s) which the Chair considers should be dealt with at the meeting as a matter of urgency.

### **AGENDA**

Item	Title	Page Number
1.	<b>APOLOGIES FOR ABSENCE AND NOTIFICATION OF SUBSTITUTE:</b>	
2.	<b>DECLARATIONS OF INTEREST:</b> Member to advise of any declarations of interest.	
3.	<b>MINUTES:</b> of the meeting of 13 October 2022.	5 - 8
4.	<b>EXEMPT INFORMATION:</b> To determine whether to exclude the press and public during the consideration of any exempt information items – items 8 and 12 (part).	
5.	<b>PUBLIC ARRANGEMENTS - QUESTIONS:</b> To consider any questions under Standing Order 27.	
<b><u>MATTERS TO BE DEALT WITH UNDER DELEGATED POWERS</u></b>		
6.	<b>EXTERNAL AUDIT PROGRESS REPORT:</b> The External Auditors (Mazars LLP) to submit a written report.	9 - 24

- |     |   |              |
|-----|---|--------------|
| 7.  | <b>AUDIT SERVICES DECEMBER MONITORING REPORT 2022/23:</b><br>The Assistant Director – Audit Assurance (Veritau) to submit a written report. | 25 - 28      |
| 8.  | <b>INTERNAL AUDIT SPECIAL INVESTIGATION INTO FUEL:</b><br>The Assistant Director – Audit Assurance (Veritau) to submit a written report.    | 29 - 40      |
| 9.  | <b>INTERNAL AUDIT REPORT ON TRAINING:</b><br>The Assistant Director – Audit Assurance (Veritau) to submit a written report.                 | 41 - 52      |
| 10. | <b>DRAFT UNAUDITED STATEMENT OF ACCOUNTS 2021/22:</b><br>The Financial Services Manager to submit a written report.                         | 53 - 138     |
| 11. | <b>DRAFT ANNUAL GOVERNANCE STATEMENT 2021/22:</b><br>The Scrutiny, Governance and Risk Manager to submit a written report.                  | 139 -<br>176 |
| 12. | <b>STRATEGIC RISK REGISTER 2022/23:</b><br>The Scrutiny, Governance and Risk Manager to submit a written report.                            | 177 -<br>204 |

**MEMBERSHIP:** Councillor Steven Jackson (Chair). Councillor Alex Raubitschek, Councillor Hannah Gostlow, Councillor Matthew Webber and Councillor Victoria Oldham.

Members are reminded that in order to expedite business at the meeting and enable Officers to adapt their presentations to address areas causing difficulty, they are encouraged to contact Officers prior to the meeting with questions on technical issues in reports.

Members of the public are entitled to attend this meeting as observers for all those items taken in open session.

The agenda papers may be examined at the Civic Centre, Harrogate and a copy may be purchased for £6.00.

Please contact Harriet Clarke, Democratic Services Officer, if you have any queries or need further information on this agenda - telephone 01423 500600 or email Tel: 01423 500600 Email: [democraticservices@harrogate.gov.uk](mailto:democraticservices@harrogate.gov.uk)

Members of the public or press are entitled to record (e.g. film, audio, tweet, blog) this meeting. Any recording or reporting on this meeting should take place in accordance with the Council's protocol on recording and reporting of public meetings. We request that any member of the public or press intending on recording the meeting notify Democratic Services at the earliest opportunity so that any reasonable adaptations can be made. Where we have received prior notification

of the intention to record a meeting the Chair will make an announcement at the start of the meeting and give any direction needed.

**EMERGENCY PROCEDURES FOR MEETINGS – FIRE:** On hearing the fire evacuation alarm, you should leave the building by the nearest safe fire exit. Once outside the building, please assemble in the corner of the visitor car park at the front of the building opposite the main entrance. Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.