

## **AUDIT AND GOVERNANCE COMMITTEE**

**DATE:** Monday, 12 September 2022

**TIME:** 5.30 pm

**VENUE:** Council Chamber - Civic Centre, St Luke's Avenue, Harrogate  
HG1 2AE.

**This meeting will be livestreamed here: <https://bit.ly/HarrogateYouTube>  
(Copy and paste the link in your browser).**

**Notice is hereby given** that the above meeting will take place for the purpose following and, by virtue of Section 100B(4)(b) of the Local Government Act 1972, any other matter(s) which the Chair considers should be dealt with at the meeting as a matter of urgency.

### **AGENDA**

Item	Title	Page Number
1.	<b>APOLOGIES FOR ABSENCE AND NOTIFICATION OF SUBSTITUTE:</b>	
2.	<b>DECLARATIONS OF INTEREST:</b> Member to advise of any declarations of interest.	
3.	<b>MINUTES:</b> of the meeting of 20 June 2022.	3 - 8
4.	<b>EXEMPT INFORMATION:</b> To determine whether to exclude the press and public during the consideration of any exempt information items – item 8 (part).	
5.	<b>PUBLIC ARRANGEMENTS - QUESTIONS:</b> To consider any questions under Standing Order 27.	
<b><u>MATTERS TO BE DEALT WITH UNDER DELEGATED POWERS</u></b>		
6.	<b>2021/22 AUDIT STRATEGY MEMORANDUM:</b> The External Auditors (Mazars LLP) to submit a written report.	9 - 42
7.	<b>INTERNAL AUDIT ANNUAL REPORT 2021/22:</b>	43 - 50

The Audit Services and Fraud Manager Financial Services Manager to submit a written report.

8. **INTERNAL AUDIT RECOMMENDATION REPORT:** 51 - 94  
The Audit Services and Fraud Manager Financial Services Manager to submit a written report.
9. **INTERNAL AUDIT PLAN 2022/23:** 95 - 102  
The Audit Services and Fraud Manager Financial Services Manager to submit a written report.

**MEMBERSHIP:** Councillor Steven Jackson (Chair). Councillor Alex Raubitschek, Councillor Hannah Gostlow, Councillor Matthew Webber and Councillor Victoria Oldham.

Members are reminded that in order to expedite business at the meeting and enable Officers to adapt their presentations to address areas causing difficulty, they are encouraged to contact Officers prior to the meeting with questions on technical issues in reports.

Members of the public are entitled to attend this meeting as observers for all those items taken in open session.

The agenda papers may be examined at the Civic Centre, Harrogate and a copy may be purchased for £6.00.

Please contact Harriet Clarke, Democratic Services Officer, if you have any queries or need further information on this agenda - telephone 01423 500600 or email Tel: 01423 500600 Email: [democraticservices@harrogate.gov.uk](mailto:democraticservices@harrogate.gov.uk)

Members of the public or press are entitled to record (e.g. film, audio, tweet, blog) this meeting. Any recording or reporting on this meeting should take place in accordance with the Council's protocol on recording and reporting of public meetings. We request that any member of the public or press intending on recording the meeting notify Democratic Services at the earliest opportunity so that any reasonable adaptations can be made. Where we have received prior notification of the intention to record a meeting the Chair will make an announcement at the start of the meeting and give any direction needed.

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